

# *On-disk filesystem structures*

Jan van Wijk

Filesystem on-disk structures for  
FAT, HPFS, NTFS and JFS

**FSYS** - *software*  *DFS*<sub>ee</sub>

# *Presentation contents*

- Generic filesystem architecture
- FAT, File Allocation Table
- HPFS, High Performance FileSystem
- NTFS, New Technology FileSystem
- Examples using DFSee ...

# *Who am I ?*

## Jan van Wijk

- Software Engineer, C, Rexx, Assembly
- Founded FSYS Software in 2001
- First OS/2 experience in 1987, developing parts of OS/2 1.0 EE (Query Manager, later DB2)
- Used to be a systems-integration architect at a large bank, 500 servers and 7500 workstations
  
- Home page: <http://www.dfsee.com>

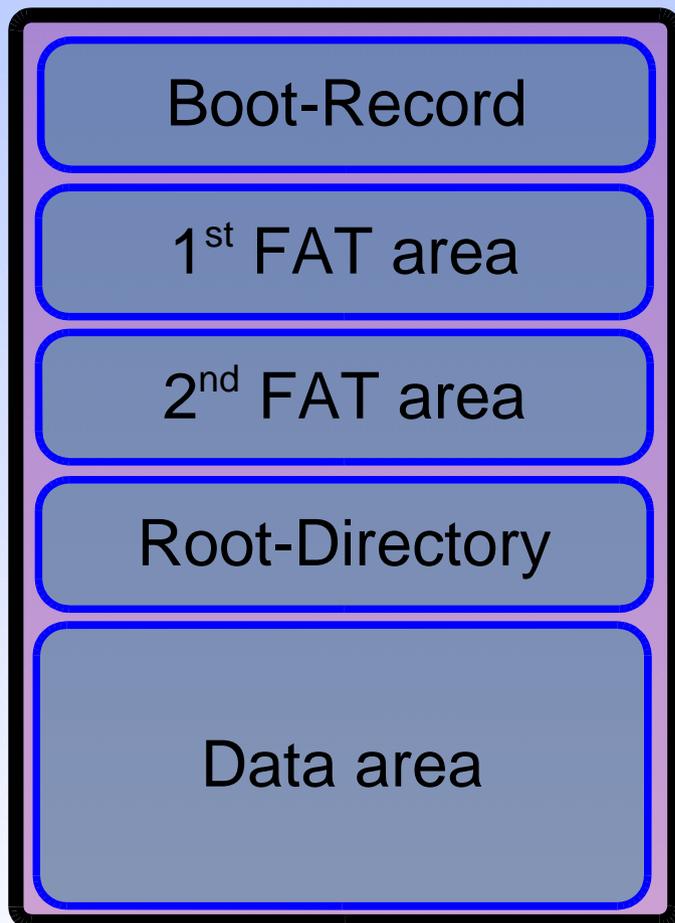
# *Information in a filesystem*

- Generic volume information
  - Bootsector, superblocks, special files ...
- File and directory descriptive info
  - Directories, FNODEs, INODEs, MFT
  - Hierachy of files/directories
- Freespace versus used areas
  - Allocation-table, bitmap
- Used areas for each file/directory
  - Allocation-table, run-list, bitmap

# *File Allocation Table*

- The FAT filesystem was derived from older CPM filesystems for the first (IBM) PC
- Designed for diskettes and small harddisks
- Later expanded with sub-directory support to allow larger hierarchical filesystems
  
- Supported natively by the OS/2 kernel
- Enhancements in installable filesystems like FAT32.IFS and VFAT.IFS

# FAT(16) Volume layout



- Bootsector, bootcode, labels and geometry/size info (BPB)
- File Allocation table, 12/16 bits for every cluster in the volume
- Exact duplicate of 1<sup>st</sup> FAT
- Fixed size, fixed position
- First data located at cluster 2
- Has clusters of filedata as well as clusters with sub-directories

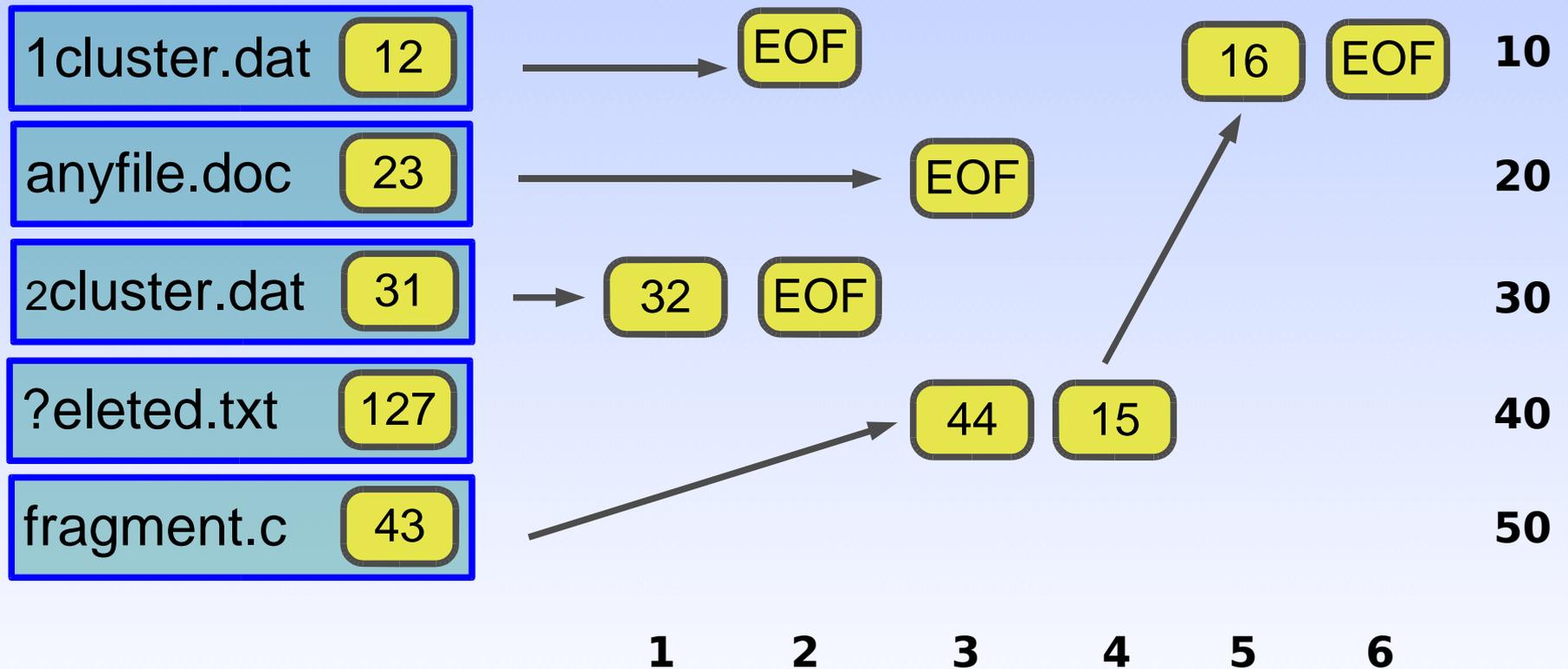
# *The Allocation Table*

- The actual File Allocation Table has ONE value for every allocation unit (cluster):
  - Free, the cluster is NOT in use, value is 0 (zero)
  - 2 .. max, location of the NEXT cluster in the chain
  - EOF, end of file, this is the last cluster in the chain
  - BAD, the cluster is unusable due to bad sectors
- Each value can be 12 bits, 16 bits or 32 bits depending on volume and cluster size.
- A directory entry points to the FIRST cluster of an 'allocation chain'

# FAT Allocation Chain

Directory entries

Part of the FAT area



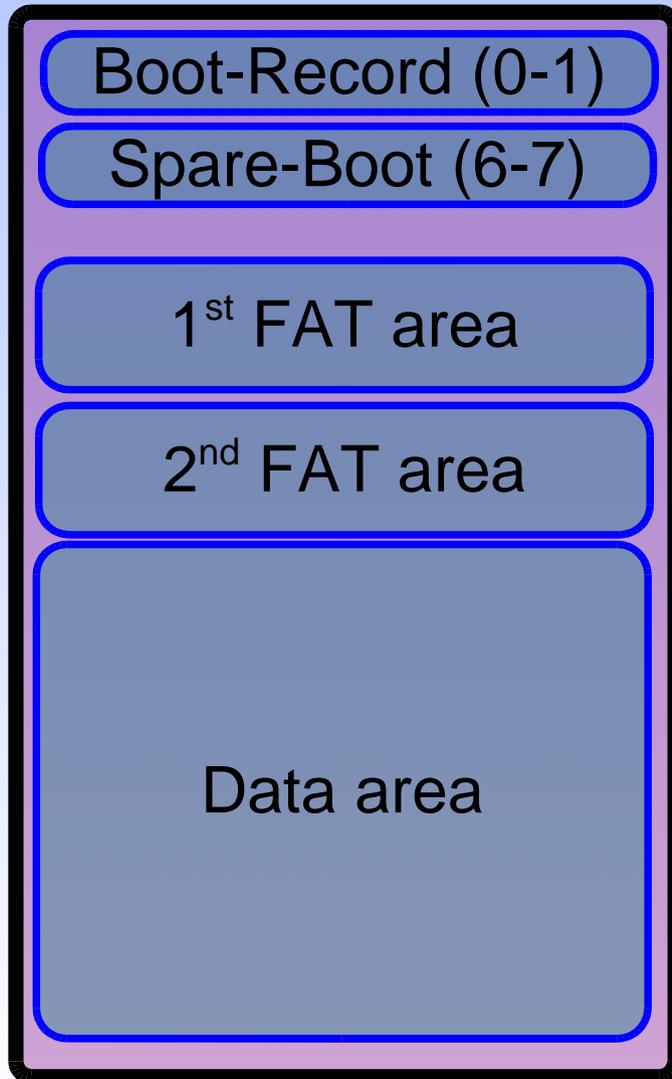
# *FAT directory entries*

- A basic FAT directory entry contains:
  - 8 character BASE filename
  - 3 character file extension
  - 1 byte attribute with RO, System, Hidden etc
  - 4 byte date and time information
  - 2 bytes (16-bit) cluster-number for FIRST cluster
  - 4 bytes (32-bit) filesize, maximum value 2 Gb
- OS/2, FAT32 and VFAT may add:
  - 2 bytes index value to OS2 extended-attributes
  - 2 bytes extra cluster number, making it 32-bit
  - Extra create/access date and time fields (VFAT)

# *Common problems with FAT*

- Combined file-allocation and freespace administration (no redundancy) may cause:
  - Lost clusters, allocated but no directory link
  - Cross-links, clusters that are in more than 1 chain
  - Undelete will be UNRELIABLE for fragmented files because the cluster allocation is unknown after the file is erased. (clusters marked FREE)
- OS/2 specific EA related problems:
  - stored in one huge file “EA DATA . SF”
  - Linked from an index in the FAT directory entry, can be damaged by other OS's or defragmenters

# FAT32 Volume layout



- Bootsector, bootcode, label, geo and size info (BPB). Location of Root directory, freespace size
- File Allocation table, 32 bits for every cluster in the volume
- Exact duplicate of 1<sup>st</sup> FAT
- First data located at cluster 2 (usually the Root directory)
- Has clusters of filedata as well as clusters with directories

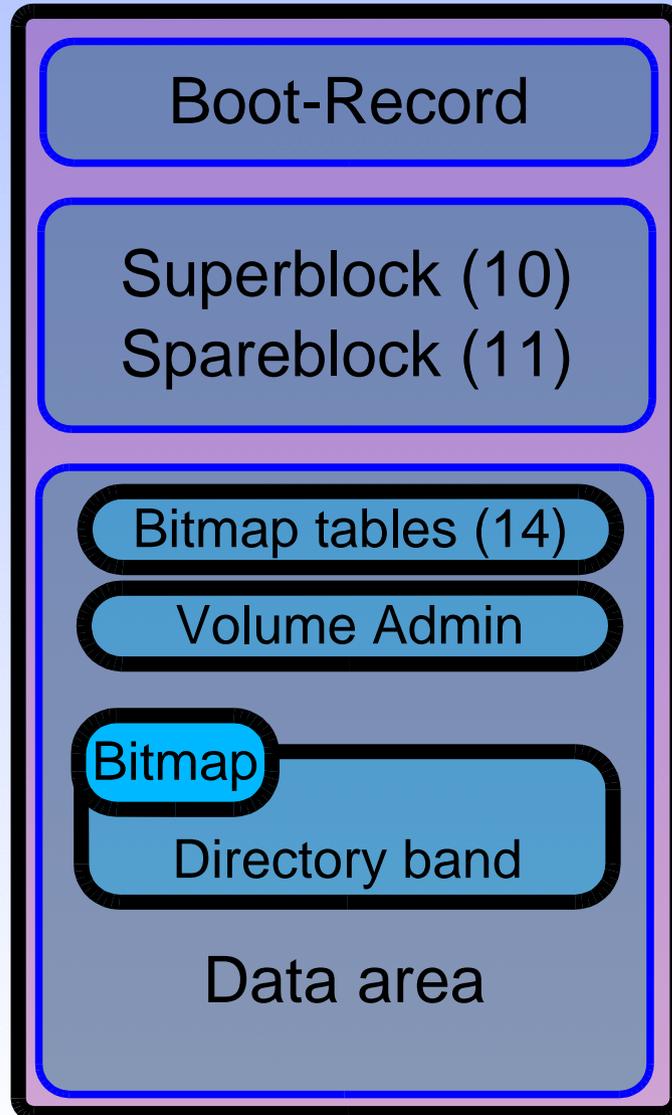
# *High Performance File System*

- Designed by MS and IBM to overcome the shortcomings of the FAT filesystem
- Based on UNIX-like Fnodes and B-trees
- Designed for larger harddisks ( > 100 MiB)
- More redundancy, less sensitive to crashes
- B-trees, fragmentation is less of a problem
- Implemented as Installable Filesystem with dedicated caching (HPFS.IFS, HPFS386.IFS)

# *HPFS Features and limits*

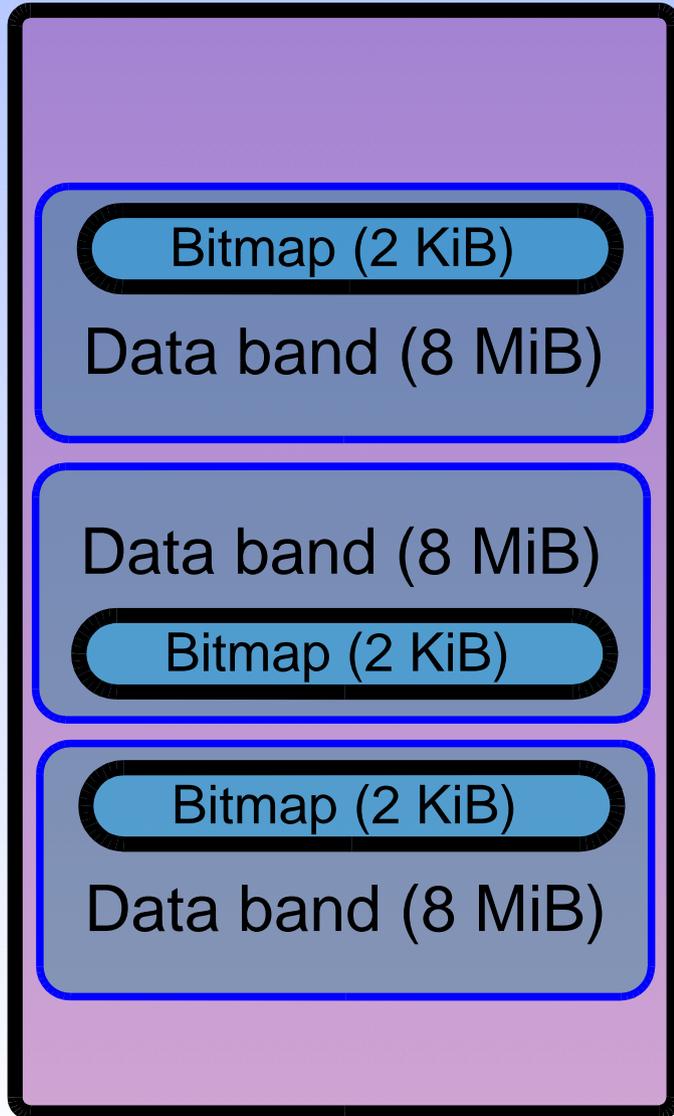
- FS-size upto 2 terabyte (2048 GiB) by design
- OS/2 implementation limit of 64 GiB due to shared cache design (5 bits of 32 for cache use)
- Allocation in single 512-byte sectors
- Filename maximum length of 254 characters
- Support for multiple codepages for filenames
- B-trees used for allocation and directories
- Multi-level cache: Paths, Directories and Data

# HPFS Volume layout



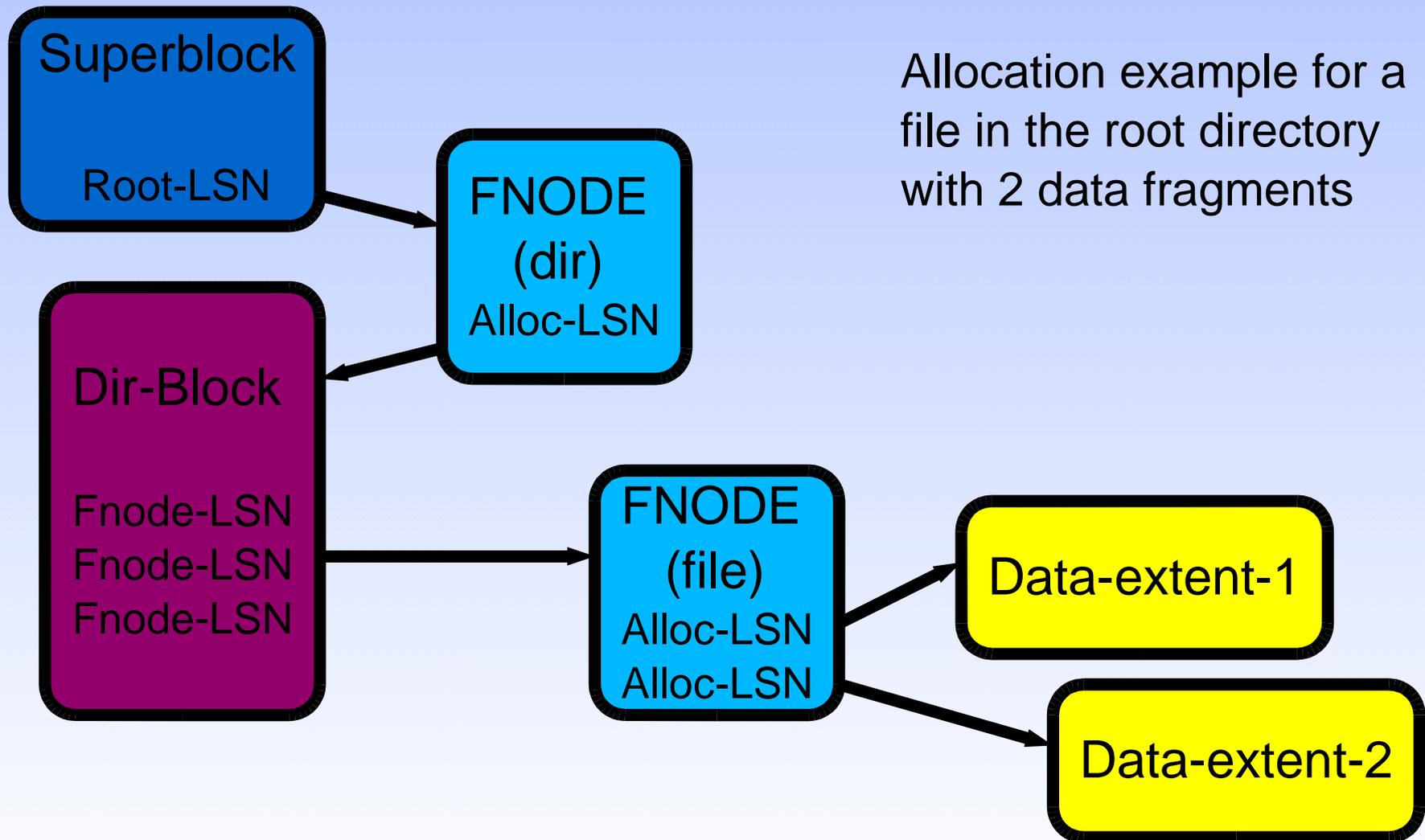
- Bootsector with HPFS bootcode
- Fixed volume-information pointer to Root-directory
- Variable volume-information
- Division in 8 MiB data bands
- Codepage, Hotfix, Spare etc
- Pre-allocated DIR-blocks, 1% in middle of volume (max 800 Mb)
- Separate Directory-BITMAP
- Filedata + extra allocation and directory blocks when needed

# HPFS data-bands layout



- Data Bands:
  - Are of a FIXED size of 8 MiB (128 per gigabyte partition size)
  - Each have a freespace BITMAP that are located at the start or at the end (alternating) so they are back-to-back
  - Maximum UNFRAGMENTED filesize is almost 16 MiB

# HPFS File allocation



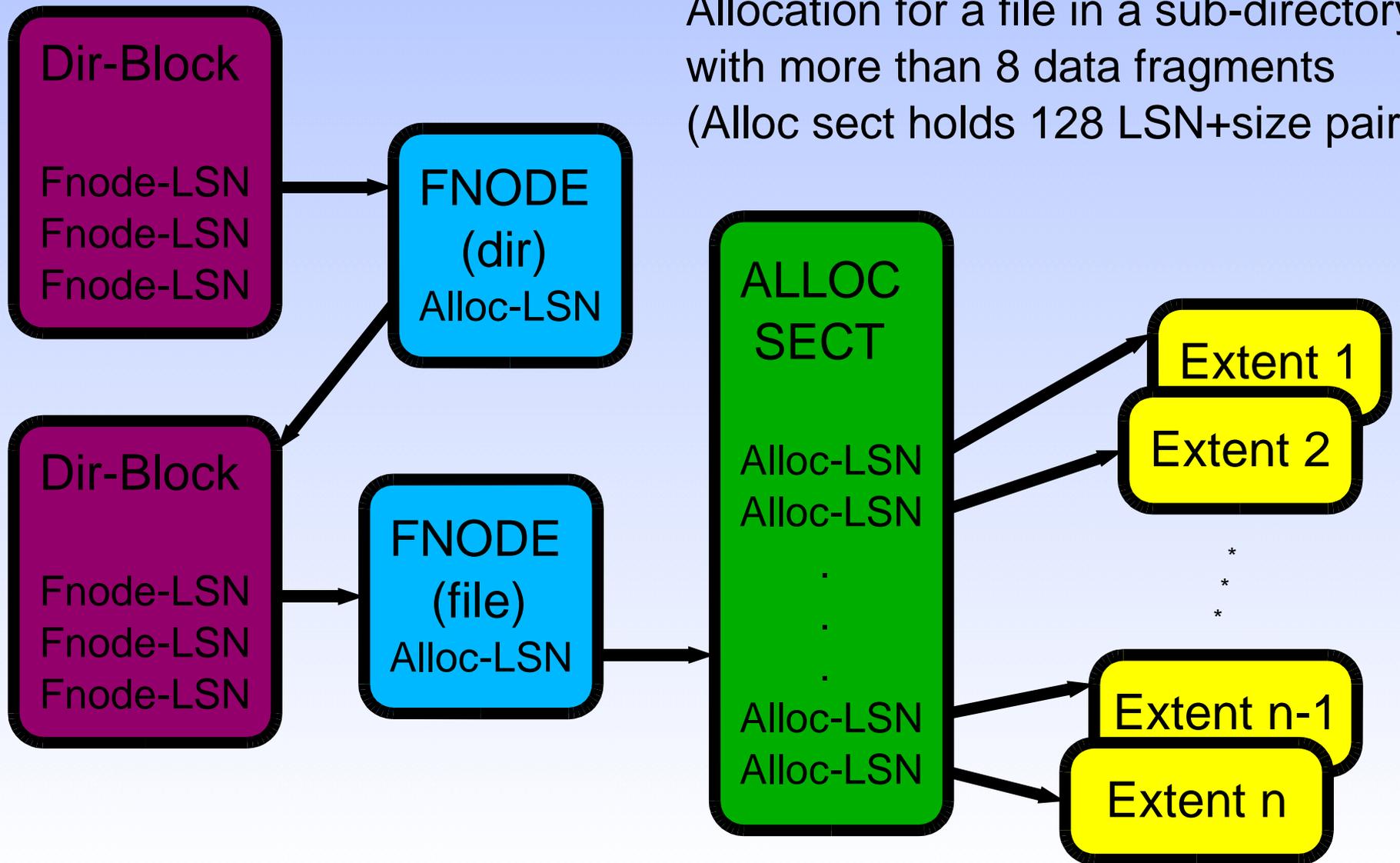
# *HPFS Fnode layout*

- An Fnode is 512 bytes with fixed size info:
  - Unique binary signature string 'ae 0a e4 f7'
  - Sectornumber (LSN) for Parent directory
  - First 15 characters of the filename (short name)
  - Length of filename, and length of the filedata
  - Type of the Fnode, either File or Directory
  - Allocation information, max of 8 LSN+size pairs
  - DASD limits (user quota, HPFS386 only)
- Then, variable sized info may be present, either in the Fnode itself or externally:
  - Extended-attribute data (.longname, .icon etc)
  - Access Control Lists (HPFS386 only)

# *HPFS DirBlock layout*

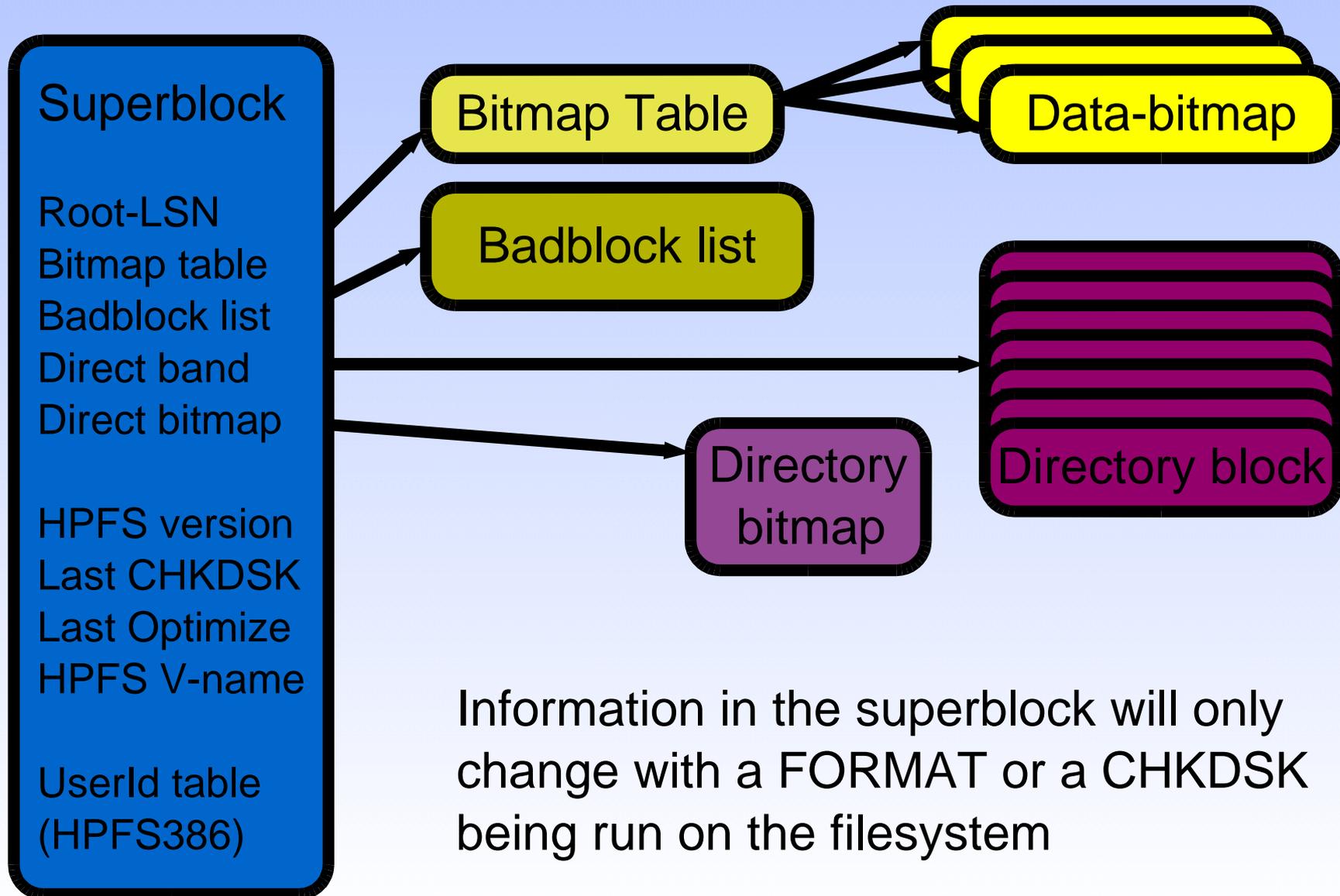
- A DirBlock is 2048 bytes with fixed size info:
  - Unique binary signature string 'ae 0a e4 77'
  - LSN for Parent and type Fnode or DirBlock (B-tree)
  - Sectornumber for THIS Directory-Block
  - Number of changes since creation of the block
- Then, variable sized Directory info with:
  - A B-tree 'down' pointer (DirBlock LSN), OR
  - Three date/time fields creation, modify, last access
  - The standard (FAT, SHRA) attributes
  - File data length and extended-attribute length
  - Codepage number to use with the filename
  - Variable sized filename, max 254 characters

# HPFS Fragmented File



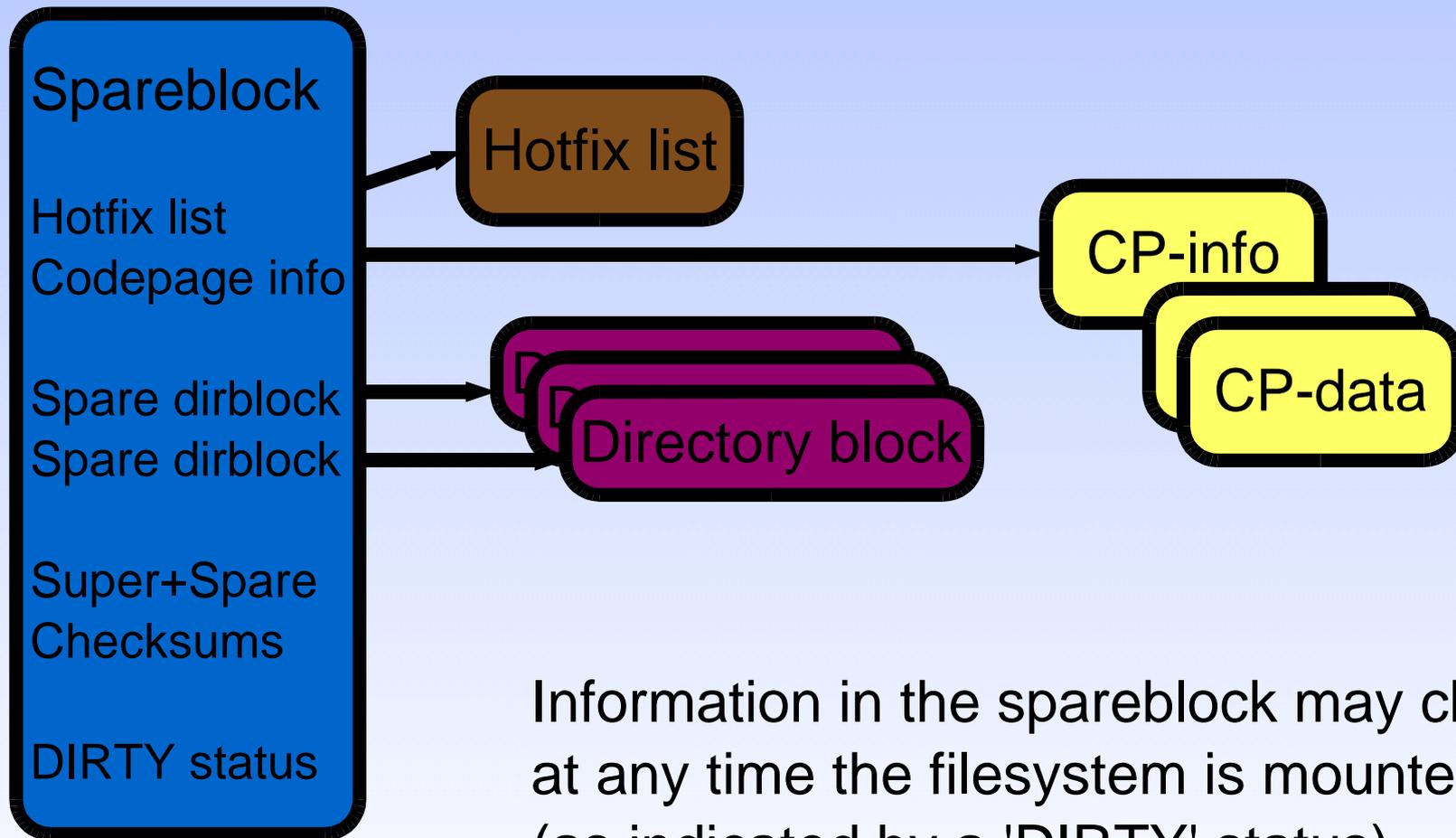
Allocation for a file in a sub-directory with more than 8 data fragments (Alloc sect holds 128 LSN+size pairs)

# HPFS Superblock info



Information in the superblock will only change with a FORMAT or a CHKDSK being run on the filesystem

# HPFS Spareblock info



Information in the spareblock may change at any time the filesystem is mounted (as indicated by a 'DIRTY' status)

# *New Technology File System*

- Design started as new FS for OS/3 (32-bit OS/2) before that was renamed to Windows NT
- Organisation like a database, everything, including the FS administration itself is a FILE represented by an entry in the Master File table (MFT)
- Can handle extreme sizes due to 64 bit values used
- All data represented by attribute values, with the data being the 'default data attribute'. Supports multiple data-streams for a single file.
- Has native support for OS/2 EA's (as MFT attribute)

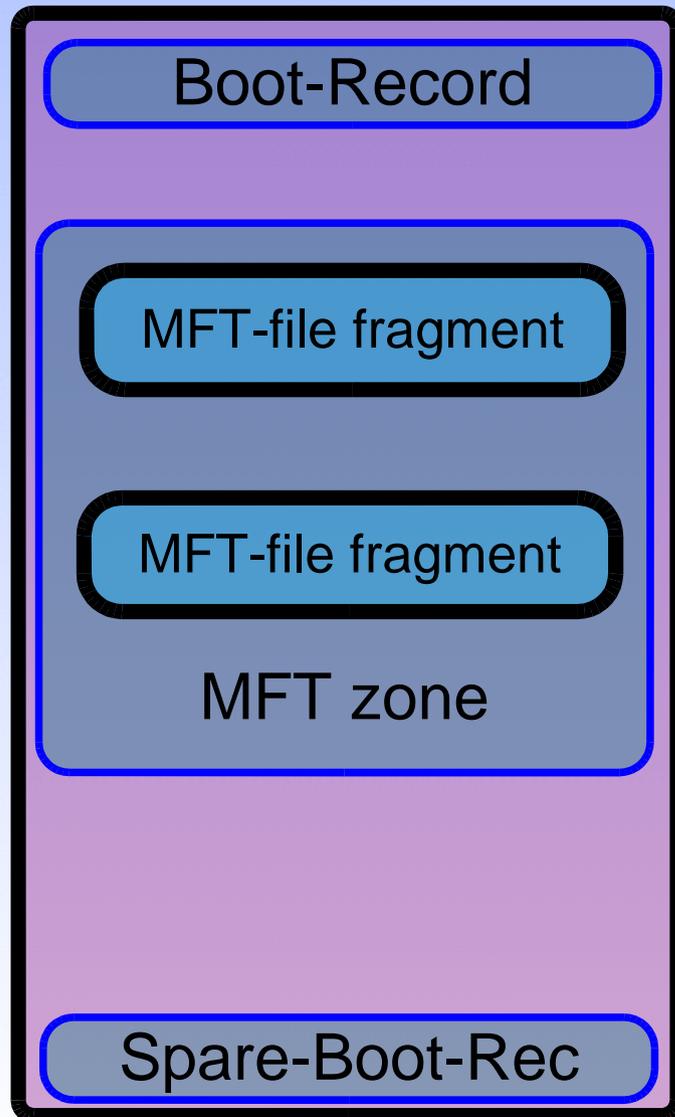
# *NTFS limits*

- FS-size upto  $2^{64}$  clusters by design
  - Some tools limited to 2048 GiB due to use of 32 bits for sector or cluster numbers
- Allocation in clusters of typically 8 sectors
- MFT record typical size is 2 KiB
  - May hold all data for small files. Larger attributes are stored externally, using runlists for the allocated space
- Filename of unlimited length, limited by the OS itself to a length of 254 characters

# NTFS Features

- Uses UNICODE for filenames to allow for any character set (like codepages in HPFS)
- The FS keeps a transaction-LOG of all changes to the FS-structures to allow quick recovery and guarantee a consistent filesystem.
  - This makes it a ***journaling*** filesystem
  - File data itself is NOT part of the journal, so may get lost/damaged after a crash!

# NTFS Volume layout



- Bootsector with NTFS bootcode
- Some fixed volume-information, pointer to MFT and MFT-spare
- MFT zone is reserved to reduce fragmentation of the MFT, but will be used for data if FS gets full
- MFT itself is a regular file, so CAN and WILL get fragmented
- Rest of space is for all external attributes, not stored in the MFT records themselves ...

# *NTFS special files*

- 0 = \$MFT Main MFT file, all files/dirs
- 1 = \$MFTmirr Mirror MFT file, 1<sup>st</sup> 4 entries
- 2 = \$LogFile Journalling logfile
- 3 = \$Volume Global volume information
- 4 = \$AttrDef Definitions for attribute values
- 5 = \ Root directory
- 6 = \$Bitmap Allocation bitmap
- 7 = \$Boot Bootrecord (8 KiB at sect 0)
- 8 = \$BadClus Bad cluster administration
- 9 = \$Secure Global Security information
- A = \$Upcase Collating and uppercase info
- B = \$Extend Extended info (NTFS 5, XP)

# *MFT special file remarks*

- Special files upto MFT-A are fixed, and standard
- MFT B represents a directory with (for XP):
  - \$ObjId            Object identification data
  - \$Quota            User space restriction data
  - \$Reparse            Reparse points, aliases in the filesystem, much like Unix/Linux soft-links (or WPS shadows)
- MFT numbers upto arround 1A are reserved for system file use by the FS itself, after that the first user files will appear

# *MFT record layout*

- The MFT record is of a fixed size (1 KiB) that starts with a fixed header containing:
  - Unique signature string 'FILE'
  - Sequence, generation and 'fixup' information
  - Offset to first dynamic attribute in the record (0x38)
  - Type of the MFT-record, either File or Directory
- After this a dynamic list of variable sized attributes follows, these can be either:
  - Internal (Self contained) when small
  - External, using an allocation run-list pointing to one or more clusters being used for the data

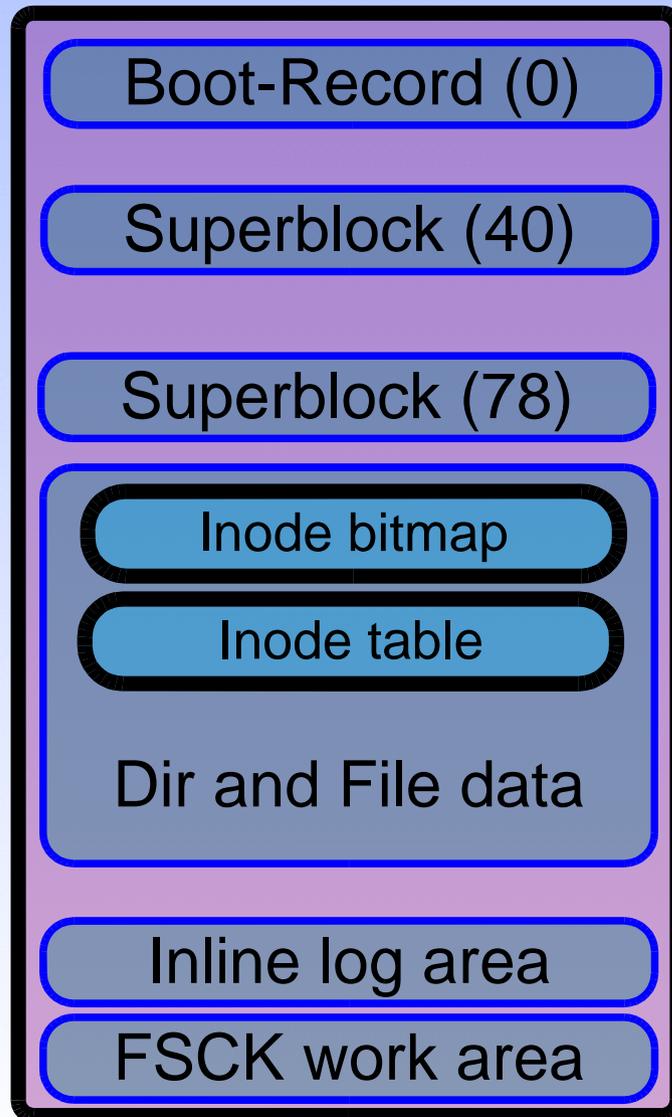
# *MFT attributes (from \$AttrDef)*

- 10 = \$STANDARD\_INFORMATION
- 20 = \$ATTRIBUTE\_LIST (group of attributes)
- 30 = \$FILE\_NAME
- 40 = \$OBJECT\_ID
- 50 = \$SECURITY\_DESCRIPTOR
- 60 = \$VOLUME\_NAME
- 70 = \$VOLUME\_INFORMATION
- 80 = \$DATA (default or named data stream)
- 90 = \$INDEX\_ROOT (B-tree root, directories)
- A0 = \$INDEX\_LOCATION
- B0 = \$BITMAP
- C0 = \$REPARSE\_POINT
- D0 = EA\_INFORMATION
- E0 = EA (actual OS/2 extended attribute data)
- 100 = LOGGED\_UTILITY\_STREAM

# *Journalled File System*

- Designed by IBM for its AIX operating system
- Based on UNIX-like structure with journalling and multiple storage area capabilities
- Ported to an OS/2 IFS by IBM to allow huge expandable filesystems with good performance and journalling (fast crash recovery)
- Port released as 'open source' for Linux too
- Relies on LVM for some of its functionality

# JFS Volume layout



- Bootsector, standard (label etc)
- JFS specific volume data with pointers to lots of info :-)
- Duplicate of main superblock
- Actual contents is grouped in 'aggregates' of fixed size  
Layout of that to be refined
- The 'journal' file area
- Temporary space for CHKDSK

# *On-disk filesystem structures*

## Questions ?

**FSYS** - *software*



*DFS*<sub>ee</sub>